

06/01/04

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. Further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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7590

04/20/2004

John P. Iwanicki
 BANNER & WITCOFF, LTD.
 28th Floor
 28 State Street
 Boston, MA 02109

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

| | |
|---------------------|--------------------|
| Laurie Hall | (Depositor's name) |
| Laurie Hall | (Signature) |
| May 28, 2004 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/977,866 | 10/15/2001 | Kenneth H. Falchuk | 10498-00027 | 3736 |

TITLE OF INVENTION: COMPOUNDS AND METHODS FOR REGULATING CELL DIFFERENTIATION

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$665 | \$300 | \$965 | 07/20/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------|----------|----------------|
| HANLEY, SUSAN MARIE | 1651 | 514-422000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Banner & Witcoff, Ltd.**

2 _____

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

President & Fellows of Harvard College Cambridge, Massachusetts

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0733 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

[Signature]

5-28-04

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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06/02/2004 WABRHAM2 00000108 190733 09977866

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| 01 FC:2501 | 665.00 DA |
| 02 FC:1504 | 300.00 DA |
| 03 FC:8001 | 3.00 DA |

TRANSMIT THIS FORM WITH FEE(S)



PATENT
ATTORNEY DOCKET NO. 10498-00027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------------------|---|-----------------|
| In re Application of: |) | |
| |) | |
| Kenneth H. Falchuk |) | Examiner: |
| |) | Susan M. Hanley |
| Serial No.: 09/977,866 |) | |
| |) | |
| Filed: October 15, 2001 |) | Art Unit: 1651 |
| |) | |
| Title: COMPOUNDS AND METHODS FOR |) | |
| REGULATING CELL DIFFERENTIATION |) | |

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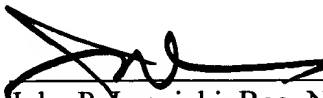
Mailing Date of Notice of Allowance: April 20, 2004
Confirmation No.: 3736

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed April 20, 2004, enclosed is the completed Issue Fee Transmittal Form PTOL-85B. The Commissioner is authorized to apply the required fees of \$968.00, including one (1) patent copy, and any other charges or credits to our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: May 28, 2004


John P. Iwanicki, Reg. No. 34,628
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USSN 09/977,866
Express Mail Receipt No. EV 436813210 US

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